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FRANSMITTAL LETTER Docket No. (General - Patent Pending) 0112300-484 In Re Application Anthony J. Baerlocher et al. Group Art Unit Filing Date Examiner Serial No. 3713 09/964,022 September 26, 2001 Unknown GAMING DEVICE HAVING ACHIEVEMENT CRITERIA FOR ADVANCEMENT Title: NOLOGY CENTER R3700 TO THE ASSISTANT COMMISSIONER FOR PATENTS: Transmitted herewith is: Transmittal Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation - PTO 1449; References Cited; Transmittal Letter (General - Patent Pending); Preliminary Amendment; Certificate of Express Mailing and Postcard Return Receipt in the above identified application. No additional fee is required. A check in the amount of is attached. The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of X Credit any overpayment. Charge any additional fee required. Dated: March 18, 2002 Adam H. Masia (Reg. No. 35,602) **BELL, BOYD & LLOYD LLC** P. O. Box 1135 I certify that this document and fee is being deposited Chicago, Illinois 60690 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. Signature of Person Mailing Correspondence CC: Pyped or Printed Name of Person Mailing Correspondence

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 0112300-484 In Re Application Of: Anthony J. Baerlocher et al. Filing Date Examiner Serial No. Group Art Unit 3713 09/964,022 **September 26, 2001** Unknown TECHNOLO CENTER OF DEVICE HAVING ACHIEVEMENT CRITERIA FOR ADVANCEMENT MAR 1 8 2002 Address to: **Assistant Commissioner for Patents** Washington, D.C. 20231 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); **OR** ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 0112300-484 In Re Application: Anthony J. Baerlocher et al. Examiner Group Art Unit Serial No. Filing Date The state of the s September 26, 2001 Unknown 09/964,022 DEVICE HAVING ACHIEVEMENT CRITERIA FOR ADVANCEMENT MAR 1 8 2002 **Payment of Fee** (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) is attached. A check in the amount of The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of \boxtimes Credit any overpayment. Charge any additional fee required. Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail I certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is Patent and Trademark Office (F addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. (Date) Signature Signature of Person Mailing Correspondence Typed or Printed Name of Person Mailing Certificate Typed or Printed Name of Person Signing Certificate *This certificate may only be used if paying by deposit account. Dated: March 18, 2002 Signature Adam H. Masia (Reg. No. 35,602) **BELL, BOYD & LLOYD LLC** P. O. Box 1135 Chicago, Illinois 60690

CC:

INITED STATES PATENT AND TRADEMARK OFFICE

Anthony J. Baerlocher, et al. Applicant:

Appl. No.:

09/964,022

Filed:

September 26, 2001

Title:

GAMING DEVICE HAVING ACHIEVEMENT CRITERIA FOR

ADVANCEMENT

Art Unit: Examiner: 3713

Docket No.:

Unknown 0112300-484

Honorable Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

TO MAR 23 ON TO In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

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Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

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